

# TECHNICAL RESEARCH REPORT

Generalized Inverses for Finite-Horizon Tracking

by *Dimitrios Hristu*

CDCSS T.R. 2000-2  
(ISR T.R. 2000-15)



*The Center for Dynamics and Control of Smart Structures (CDCSS) is a joint Harvard University, Boston University, University of Maryland center, supported by the Army Research Office under the ODDR&E MURI97 Program Grant No. DAAG55-97-1-0114 (through Harvard University). This document is a technical report in the CDCSS series originating at the University of Maryland.*

Web site <http://www.isr.umd.edu/CDCSS/cdcss.html>

## Report Documentation Page

*Form Approved  
OMB No. 0704-0188*

Public reporting burden for the collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to Washington Headquarters Services, Directorate for Information Operations and Reports, 1215 Jefferson Davis Highway, Suite 1204, Arlington VA 22202-4302. Respondents should be aware that notwithstanding any other provision of law, no person shall be subject to a penalty for failing to comply with a collection of information if it does not display a currently valid OMB control number.

1. REPORT DATE <b>2000</b>	2. REPORT TYPE	3. DATES COVERED -		
4. TITLE AND SUBTITLE <b>Generalized Inverses for Finite-Horizon Tracking</b>		5a. CONTRACT NUMBER		
		5b. GRANT NUMBER		
		5c. PROGRAM ELEMENT NUMBER		
6. AUTHOR(S)		5d. PROJECT NUMBER		
		5e. TASK NUMBER		
		5f. WORK UNIT NUMBER		
7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES) <b>Army Research Office, PO Box 12211, Research Triangle Park, NC, 27709</b>		8. PERFORMING ORGANIZATION REPORT NUMBER		
9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)		10. SPONSOR/MONITOR'S ACRONYM(S)		
		11. SPONSOR/MONITOR'S REPORT NUMBER(S)		
12. DISTRIBUTION/AVAILABILITY STATEMENT <b>Approved for public release; distribution unlimited</b>				
13. SUPPLEMENTARY NOTES				
14. ABSTRACT <b>see report</b>				
15. SUBJECT TERMS				
16. SECURITY CLASSIFICATION OF:		17. LIMITATION OF ABSTRACT	18. NUMBER OF PAGES <b>7</b>	19a. NAME OF RESPONSIBLE PERSON
a. REPORT <b>unclassified</b>	b. ABSTRACT <b>unclassified</b>			

# Generalized Inverses for Finite-horizon Tracking<sup>1</sup>

Dimitris Hristu

Harvard University,

Division of Engineering and Applied Sciences,

29 Oxford St., Cambridge, MA 02138

e-mail: hristu@hrl.harvard.edu

## Abstract

Control and communication issues are traditionally “decoupled” in discussions of decision and control problems, as this simplifies the analysis and generally works well for classical models. This fundamental assumption deserves re-examination as control applications spread into new areas where system complexity is significant. Such areas include the coordinated control of aerial vehicles (UAV’s), MEMS devices, multi-joint manipulators and other settings where many systems must share the attention of a decision-maker. We consider a new class of sampled-data systems (termed “computer-controlled systems”) that offer the possibility of jointly optimizing between control and communication goals. Computer-controlled LTI systems can be viewed as linear operators between appropriate inner-product spaces. The generalized inverses of these operators are used to solve a class of finite-horizon tracking problems.

## 1 Introduction

With the increased adoption of digital computers as tools for automatic control, sampled-data systems have become ubiquitous. Such systems typically include a digital controller interfaced to a continuous-time physical plant. The use of a digital controller limits controller-plant communication in the sense that communication only occurs at discrete times. An additional constraint emerges if the communication bus that is available to the controller has fewer channels than the number of inputs of the plant. In that case, the controller must choose which inputs to update at a particular time. In practice, one usually ensures that the controller generates commands with a “sufficiently high” frequency (in a Nyquist sense) so that the effects of communication constraints on the control problem

become less pronounced. However, this “decoupling” of the control and communication problems must be re-examined as we seek to understand control problems such as the coordination of swarms of vehicles, MEMS arrays and other systems in which inputs/outputs must share the attention of a decision-maker. It is exactly this “sharing of attention” that must be addressed if such systems are to be used efficiently and effectively.

We investigate a class of sampled-data systems with communication constraints for which control and communication are intrinsically coupled. For such systems, we consider the problem of tracking a-priori known, finite-horizon outputs. This is essentially a feed-forward control problem, also known as “preview tracking” (see [16], [15], [9] and references therein). Our approach is novel in that it focuses on achieving optimal tracking performance with no assumptions on the controller’s bandwidth and on bringing forth the *explicit dependence* of the optimal control on controller-plant communication. Using an operator-theoretic approach to computer-controlled systems (see also [12]), we pose the problem of finite-horizon tracking as a least-squares matching problem and obtain the solution by constructing a class of generalized inverses for computer-controlled systems. These ideas will be made precise in Sec. 2. Previous work on systems with communication constraints can be found in [1], [17], [3] and [11]. The issues of distributed computation, control and estimation with limited bandwidth are addressed in [4], [18]. For modeling and analysis of sampled-data systems, see [2], [8], [19] and [12]. In the context of control systems, models for “attention” were introduced in [5] and [6]. For some of the early work on the use of generalized inverses in systems theory see [14], [20].

## 2 A Prototype Computer-Controlled System

In this section, we propose a model for computer-controlled systems based on the idea of an “attention sequence” (originally introduced in [5]) which is used to direct communications between controller and system. With respect to notation, we use  $\ell^k(N)$  to denote the space of finite sequences of vectors in  $\mathbb{R}^k$  with

<sup>1</sup>This work was supported by NSF Grant no. EEC 94-02384 and ARO Grant no. DAAG 55-97-1-0144.

$u = \{u(1), u(2), \dots, u(N)\}$  being a typical element of  $\ell^m(N)$ . Elements of individual vectors in a sequence are denoted by subscripts (e.g.  $u(2)_1$ ). The space of square-integrable  $\mathbb{R}^p$ -valued continuous signals on  $[0, T]$  is denoted by  $L_2^p[0, T]$ . Norms in these two spaces are those induced by the usual inner products:

$$\langle u, v \rangle_{\ell^m(N)} = \sum_{k=0}^{N-1} u^T(k)v(k) \text{ and}$$

$$\langle y, z \rangle_{L_2^p[0, T]} = \int_0^T y^T(t)z(t)dt$$

for  $u, v \in \ell^m(N)$  and  $y, z \in L_2^p[0, T]$ . By  $\text{Rat}^{m \times p}(n)$  we understand the space of  $m \times p$  matrices whose elements are proper rational functions with denominators of degree  $n$ .

Consider a continuous-time LTI system that is driven by a computer or other digital controller (Fig. 1).

- The controller cannot provide continuous inputs to the LTI system; instead, commands are sent to the system every  $\Delta$  time units, via a zero-order-hold stage.
- The dimension of the communication bus that carries controller-generated inputs may be less than the input dimension of the LTI plant. As a result, the controller must choose which of the input signals to update at every step.

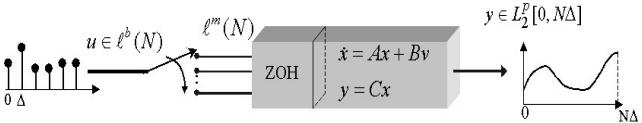


Figure 1: A computer-controlled LTI system

We will use  $b$  to denote the “size” of the communication bus with  $u \in \ell^b(N)$  being a controller-generated sequence on that bus. We will ignore quantization effects associated with the communication bus.

**Definition 1** A computer-controlled LTI system is a triple  $(G(s), \Delta, b) \in \text{Rat}^{p \times m}(n) \times \mathbb{R}_+ \times \mathbb{N}_m^*$  where:

- $G(s)$  is the transfer function of an  $n^{\text{th}}$ -order LTI system with input  $v(t) \in \mathbb{R}^m$  and output  $y(t) \in \mathbb{R}^p$ . The LTI system is driven by a digital controller through a zero-order-hold stage.
- $b \leq m$  is the dimension of the communication bus connecting the controller to the zero-order hold.
- $\frac{1}{\Delta}$  is the controller rate.

In this work we will take the underlying system to be LTI. However, computer-controlled systems need not always be linear and one could amend Def. 1 (and Fig. 1) by replacing  $G(s)$  by a non-linear system. We will use the terms “narrow” and “wide” to describe the communication bus when  $b < m$  and  $b = m$  respectively. When the communication bus is narrow, one possibility is to choose a sequence of operations for the switch (see Fig. 1) that selects which system inputs are to be updated at a particular time.

**Definition 2** By an attention sequence of length  $N$  and width  $m$ , we understand an element of

$$\mathbb{E}^{m \times N} = \{(\sigma(0), \sigma(1), \dots, \sigma(N-1)) : \sigma(i) \in \{0, 1\}^m\}$$

i.e. an ordered set of  $N$  elements of  $\{0, 1\}^m$ .

In the context of a computer-controlled system, the vectors  $\sigma(i)$  of an attention sequence  $\sigma$  are to be interpreted as indicating which elements of the system input  $v(t)$  are to be updated by the controller at  $t = i\Delta$ ,  $i = 0, \dots, N-1$ . The attention sequence and switch essentially implement a de-multiplexer.

**Definition 3** Consider a computer-controlled LTI system with  $b, m \in \mathbb{N}$  being the dimensions of the communication bus and system input respectively ( $b \leq m$ ). An attention sequence  $\sigma \in \mathbb{E}^{m \times N}$  is **admissible** if:

- At least one but no more than  $b$  of the system inputs are updated by the controller at every step:  $0 < \|\sigma(i)\|^2 \leq b \quad \forall i = 0, \dots, N-1$
- The controller communicates with all inputs of the linear system:  $\text{Span}\{\sigma(0), \dots, \sigma(N-1)\} = \mathbb{R}^m$

It should be noted that computer-controlled LTI systems are time-varying because they incorporate a zero-order-hold stage and because controller-plant communication is time-dependent.

### 3 An N-step Look-ahead Tracking Problem

Armed with the definitions of Sec. 2 we can now formulate the following output tracking problem:

**Problem Statement 1** Given a computer-controlled LTI system  $(G(s), \Delta, b)$ , an integer  $N > 0$ , a desired output  $y_d \in L_2^p[0, N\Delta]$ , and an admissible attention sequence  $\sigma \in \mathbb{E}^{m \times N}$ , find the input sequence  $u \in \ell^m(N)$  that minimizes  $\|y_d - y\|$ .

Before we present the solution to the above problem, recall that an LTI system preceded by a zero-order hold, can be regarded as a linear, time-varying operator that maps input sequences to outputs:

**Definition 4** Given an LTI system  $G(s) \in \text{Rat}^{p \times m}(n)$ , an input-sampling period  $\Delta$ , and an integer  $N > 0$ , we define the **input-output map** of  $(G(s), \Delta, N)$  to be  $\Lambda_{(G, \Delta, N)}(t) : \ell^m(N) \rightarrow L_2^p[0, N\Delta]$  with

$$y(t) = \Lambda_{(G(s), \Delta, N)}(t)u = \sum_{k=0}^{N-1} \phi_\Delta(t - k\Delta)u(k) \quad (1)$$

for  $u \in \ell^m(N)$ ,  $y \in L_2^p[0, N\Delta]$ , where

$$\phi_\Delta(t) = \begin{cases} \int_0^{\min(t, \Delta)} Ce^{A(t-\tau)} B d\tau & t \geq 0 \\ 0 & t < 0 \end{cases} \quad (2)$$

and the triple  $(B, A, C) \in \mathbb{R}^{m \times n} \times \mathbb{R}^{n \times n} \times \mathbb{R}^{n \times p}$  is a state-space realization of  $G(s)$ .

A similar formulation (applied to sampled-data systems) is found in [12]. The function  $\phi_\Delta$  is the response of the  $G(s)$  to a unit pulse of duration  $\Delta$ . Without loss of generality, we assume  $x(0) = 0$  for the state of the LTI system. We note that the range space of  $\Lambda_{(G,\Delta,N)}$  is infinite-dimensional. It is clear from Eq. 1 that the range of  $\Lambda$  contains only those elements in  $L_2^p[0, N\Delta]$  that are linear combinations of  $\phi_\Delta$  and its  $\Delta$ -translates, therefore  $\Lambda$  is not surjective. Having chosen inner products in  $\ell^m(N)$  and  $L_2^p[0, T]$ , the following can be easily verified:

**Observation 1** *The adjoint operator of  $\Lambda$  (Def. 4) is*

$$\begin{aligned}\Lambda_{(G,\Delta,N)}^* &: L_2^p[0, N\Delta] \longrightarrow \ell^m(N) \\ (\Lambda^* y)(j) &= \int_0^{N\Delta} \phi_\Delta^T(t - j\Delta) y(t) dt\end{aligned}\quad (3)$$

for  $0 \leq j \leq N - 1$ ,  $y \in L_2^p[0, N\Delta]$ .

In the following, we will sometimes abuse the notation by writing  $\Lambda$  ( $\Lambda^*$ ) as an abbreviation for  $\Lambda_{(G(s),\Delta,N)}(t)$  ( $\Lambda_{(G(s),\Delta,N)}^*(t)$ ); we always have in mind that  $\Lambda$ ,  $\Lambda^*$  are defined for a particular choice of  $G(s)$ ,  $\Delta$  and  $N$ . We now focus on the controller-plant communication.

**Lemma 1** *Consider a computer-controlled LTI system and let  $b, m \in \mathbb{N}$  be the dimensions of the communication bus and system input respectively, with  $b \leq m$ . An admissible attention sequence  $\sigma \in \mathbb{E}^{m \times N}$  together with an integer  $N > 0$  define a 1-to-1 attention map*

$$D_{(b,m,N)}(\sigma) : \ell^b(N) \longrightarrow \ell^m(N) \quad (4)$$

such that for  $u \in \ell^b(N)$  and  $0 < k < N$ :

$$D_{(b,m,N)}(\sigma)u(k)_i \neq D_{(b,m,N)}(\sigma)u(k-1)_i \quad (5)$$

for at most  $b$  indices  $i \in 1, \dots, m$ . If we identify elements in  $\ell^m(N)$  with vectors in  $\mathbb{R}^{m \cdot N}$  then

$$D_{(b,m,N)}(\sigma) = \tilde{D}(\sigma) \in \mathbb{R}^{Nm \times Nb} \quad (6)$$

$$\tilde{D}_{ij}(\sigma) = \begin{cases} 1 & \text{if } \lfloor \frac{i-1}{m} \rfloor \geq \lfloor \frac{j-1}{b} \rfloor, \\ & \sigma(\lfloor \frac{j-1}{b} \rfloor)_{\beta(i,m)} = 1 \\ & \text{and } \sum_{q=1}^{\beta(i,m)} \sigma(\lfloor \frac{j-1}{b} \rfloor)_q = \beta(j,b) \\ 0 & \text{otherwise} \end{cases} \quad (7)$$

for  $1 \leq i \leq Nm$ ,  $1 \leq j \leq Nb$ , and  $\beta(i,j) \triangleq i - \lfloor \frac{i}{j+1} \rfloor$  for  $i, j$  integers.

**Proof:** Let  $u \in \ell^b(N)$  be a controller-generated input sequence. The elements of  $u(i)$  will be used to update the inputs of the zero-order-hold stage according to  $\sigma$  so that  $u' \in \ell^m(N)$  will be the corresponding sequence appearing at the zero-order-hold stage, after demultiplexing. Because of the communication constraint  $b < m$ , the controller can only update  $b$  of the  $m$  elements of  $u'(k)$  for  $k = 0, \dots, N - 1$ , according to  $\sigma$ . Therefore, the only possible input sequences  $u'$  satisfy:

$$u'(k)_i \neq u'(k-1)_i \quad (8)$$

for at most  $b$  indices  $i \in 1, \dots, m$ .

For the elements of the attention sequence, we can write  $\sigma(k) = \sum_{j=1}^{b_k} e_{k_j}$  where  $e_i$  is the standard basis in  $\mathbb{R}^m$ ,  $b_k = \sum_i \sigma(k)_i$  and  $1 \leq k_j \leq m$  such that  $\sigma(k)_j = 1$ . Then,

$$u'(k) = \sum_{j=1}^{b_k} e_{k_j} u_j(k) = E(k)u(k) \quad (9)$$

where  $E(k) \triangleq [e_{k_1} \mid e_{k_2} \mid \dots \mid e_{k_{b_k}}] \in \{0, 1\}^{m \times b}$ . It is now clear that  $u$  and  $u'$  are related by a linear map and that all elements of  $u'$  except  $u'(0)$  are determined by  $u$  together with the binary matrices  $E(k)$ . This relation can be expressed as  $u' = D_{(b,m,N)}(\sigma)u$  where without loss of generality we have assumed that of  $u'(0)_i = 0$  for  $i$  such that  $\sigma(0)_i = 0$ . The admissibility of  $\sigma$  guarantees that at least one but no more than  $b$  elements of  $u'(k)$  will be updated for every  $k = 0, \dots, N - 1$ . Equivalently, the matrices  $E(k)$  have maximum column-rank. Therefore, for  $u, v \in \ell^b(N)$ ,  $u \neq v \Rightarrow Du \neq Dv$  and  $D$  is 1-to-1. As with  $\Lambda$ , we have used  $D$  to abbreviate  $D_{b,m,N}(\sigma)$ . Identify  $\ell^b(N)$  with  $\mathbb{R}^{b \cdot N}$ . Then  $u = [u^T(1), \dots, u^T(N)]^T$ . The matrix  $\tilde{D}(\sigma)$  which realizes  $D$ , can now be constructed as:

$$\tilde{D}(\sigma) = [\alpha(1) \mid \alpha(2) \mid \dots \mid \alpha(N)] \quad (10)$$

where  $\alpha(i) = E(i) \otimes \sum_i^N e_j$ ,  $e_j$  are the standard basis vectors in  $\mathbb{R}^N$  and  $\otimes$  denotes the Kronecker product. Equation 7 follows from  $\tilde{D}(\sigma)$ . ■

We note that  $\tilde{D}$  as described in Eq. 10 leaves undetermined  $m - b$  elements of the initial input  $u'(0) \in \mathbb{R}^m$ . Those elements of  $u'(0)$  can be taken to have fixed initial values. If the onset of a control task can be delayed until all initial inputs have been communicated then we can modify the attention sequence by setting  $\sigma(0)_i = 1$  for all  $0 \leq i \leq m$ . The following result gives the solution to the  $N$ -step look-ahead tracking problem for an LTI computer-controlled system by constructing a generalized inverse for that system.

**Theorem 1** *Let  $(G(s), \Delta, b)$  be a computer-controlled LTI system with  $G(s) \in \text{Rat}^{p \times m}(n)$ ,  $m \leq p$  and  $\text{rank}(G(s)) = m$ . Then the solution to the  $N$ -step look-ahead tracking problem is:*

$$u_* = (D^T \Lambda^* \Lambda D)^{-1} D^T \Lambda^* (y_d - y_{ic}) \quad (11)$$

where  $\Lambda(t)$  is the input-output map of  $(G(s), \Delta, N)$ ,  $D(\sigma)$  is the attention map defined by the sequence  $\sigma$  and  $y_{ic}$  is the effect of the initial conditions of  $G(s)$ .

**Proof:** At first, assume zero initial conditions for the state  $x(0)$  of the LTI system  $G(s)$ . Input sequences generated by the controller are mapped to outputs of  $(G(s), \Delta, b)$  by

$$\begin{aligned}(\Lambda D) &: \ell^b(N) \longrightarrow L_2^p[0, N\Delta] \\ y(t) &= \Lambda(t)Du\end{aligned}\quad (12)$$

For  $\sigma$  admissible,  $D$  is 1-to-1. It is enough to show that  $\Lambda$  is 1-to-1 as well. Then,  $\Lambda D$  will be 1-to-1,  $D^T \Lambda^* \Lambda D$  will be invertible and  $u_*$  can be obtained as the least-squares solution of the equation  $\Lambda D u = y_d$ . To show  $\Lambda$  is 1-to-1, it's enough to show that the scalar  $\gamma = \left\| \sum_{k=0}^{N-1} \phi_\Delta(t - k\Delta) u(k) \right\| = \|\Lambda u\|$  is strictly positive for  $\|u\| \neq 0$ . Then  $\gamma^2 = \langle u, \Lambda^* \Lambda u \rangle_{\ell^m(N)} > 0$  for all nonzero  $u$  and therefore  $\Lambda$  is kernel-free. We note that the function  $\phi_\Delta(t)$  and its  $\Delta$ -translates are a basis for the range space of  $\Lambda$  and independent, in the sense that none of them can be expressed as a linear combination of the others. To show  $\gamma > 0$ , choose any nonzero  $u \in \ell^m(N)$ . Let  $0 \leq j \leq N-1$  be the smallest index such that  $u(k) = 0$  for  $0 \leq k < j$ , or  $j = 0$  if no such index exists. Then,  $\gamma \geq \|\phi_\Delta(t - j\Delta) u(j)\|_{L_2^p[0, \Delta]}$  because  $\phi_\Delta(t - j\Delta)$  is outside the span of the other translates of  $\phi_\Delta(t)$ . If the transfer function  $G(s)$  has rank  $m$ , the non-zero input  $u(j)$  will produce a non-zero output so  $\|\phi_\Delta(t - j\Delta) u(j)\|_{L_2^p[0, \Delta]} > 0$  and  $\gamma > 0$ . We conclude that  $\Lambda D$  is 1-to-1 and has a generalized inverse given by

$$(\Lambda D)^\# = (D^T \Lambda^* \Lambda D)^{-1} D^T \Lambda^* \quad (13)$$

If  $x(0) \neq 0$ , matters must be modified by tracking  $y_d - y_{ic}$  instead of  $y_d$ , where

$$y_{ic}(t) \triangleq C e^{At} x(0) + \int_0^t C e^{A(t-\tau)} B \tilde{u} dt, \quad t \in [0, N\Delta] \quad (14)$$

and  $\tilde{u} \in \mathbb{R}^m$  is the initial conditions for the  $m-b$  elements of  $u(0)$  that are not updated at  $t = 0$ . ■

Equation 13 can be considered an analogue of the well-known formula for the left pseudo-inverse of an operator  $M : \mathbb{R}^n \rightarrow \mathbb{R}^m$ , with  $m > n$ ,  $\text{rank}(M) = n$ :

$$M^\# = (M^T M)^{-1} M^T$$

In practice  $G(s)$  should be stable or stabilized by feedback. Although we do not address feedback here, results on the stabilization of computer-controlled systems can be found in [5], [11] and [10]. We note that the solution to the  $N$ -step look-ahead problem depends on the choice of attention sequence, corresponding to the fact that control and communication are intrinsically coupled in computer-controlled systems. Choosing the attention sequence now offers the possibility of jointly optimizing between control and communication:

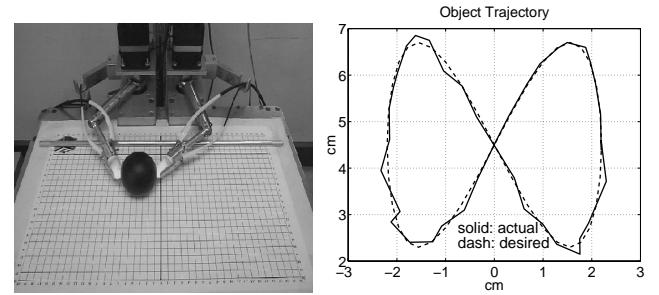
**Problem Statement 2** Given a computer-controlled LTI system  $(G(s), \Delta, b)$ , a desired output  $y_d \in L_2^p[0, N\Delta]$ , and  $N \in \mathbb{N}^*$ , find the input  $u \in \ell^m(N)$  and the attention sequence  $\sigma \in \mathbb{E}^{N \times m}$  that minimize  $\|y_d - y\|_{L_2^p[0, N\Delta]}$ .

The number of possible attention sequences is finite and therefore the minimum exists, although it may not be unique. This problem has not been solved and –

except for trivial cases – cannot be “split” into separate sub-problems, one involving optimal control, the other optimal communication. Changes in the attention sequence result in changes in the optimal input sequence.

#### 4 A Motion Control System with Limited Communication

Figure 2-a shows the Harvard Robotics Lab planar manipulator. The manipulator consists of two robotic fingers, each having two joints. The joints are driven by motors that contain integrated PID controllers, operating at 4KHz. A computer communicates with the motors through an RS-232 serial port. All four motors are connected to the same serial port so that the computer can address *one motor at a time*, at a rate of 20Hz. Possible motor commands include position and velocity setpoints, sensing of position or velocity as well as setting coefficient values for the local PID controller. Deformable tactile sensors are attached to the fingertips [7]. The sensors can localize contact with an accuracy of 1.5mm and can provide a rough estimate of local curvature at a contact at a rate of 10Hz. An overhead camera tracks objects on a table, at a rate of 30Hz. The position of an object can be determined within 3mm, limited by the resolution of the overhead camera.



**Figure 2:** (a): Planar manipulator, (b) Kinematic exploration

The manipulator uses joint, visual and tactile feedback to locate, grasp and move objects along user-specified trajectories [10]. For the experiments described here, we used a 50gr spherical object and required that it follow a “figure-8” path:

$$\begin{aligned} x_d(t) &= 4.2 \cos(t) \\ y_d(t) &= 4.5 + 1.7 \sin(2t), \quad t \in [0, 1] \text{ sec} \end{aligned} \quad (15)$$

with  $x_d, y_d$  measured in cm. Using the kinematics of the manipulator (see for example [13], [10] and references therein), the trajectory was first sampled using a sequence of forty uniformly spaced setpoints and the manipulator moved the object through each setpoint. Figure 2-b shows the trajectory that was traced by the geometric center of the object. The dashed and solid

curves represent the desired and actual paths respectively. This kinematic exploration of the desired trajectory produced a set of desired joint position and velocity signals. Those signals were used together with a linear model of each joint, to arrive at a set of actuator commands (shown in Fig. 3-a) which would produce the desired joint velocities and ultimately the trajectory of Eq. 15. The four inputs were labeled as follows: 1-left proximal, 2-left distal, 3-right proximal, 4-right distal. We used the local PID controllers (embedded in each actuator) to implement a feedback linearization scheme, modeling each joint as a linear system. Coupling effects among joints were ignored.

#### 4.1 Dynamic Performance

Figure 2-b shows good tracking performance in a geometric sense, meaning that the object came very close to the desired locus of points but it did so moving slowly (approximately 10sec to complete the figure-8). If we require that the trajectory of Eq. 15 be followed in real time, then the inputs of Fig. 3-a must be applied to the motors. Of course, those inputs are not feasible because they require communication rates higher than the available 20Hz (5 commands/sec per actuator, on average). We now need a method for computing the input sequence that results in minimum deviation from the desired trajectory. Depending on the required motions of the fingers, some joints may require more frequent communication than others. Therefore, we do not expect uniform sampling of all actuators to be an optimal strategy. We present the results obtained using two different attention sequences. Theorem 1 was used to compute the optimal input velocities for each attention sequence ( $\Delta = 0.05$ ,  $N = 20$ ). The optimal inputs were applied to the motors and the resulting object trajectory was recorded and compared with the desired one. We computed the tracking error as the magnitude of the total area between the desired and actual trajectories. In addition, the “joint tracking error” was computed as the  $L_2$  error between the desired and actual joint trajectories.

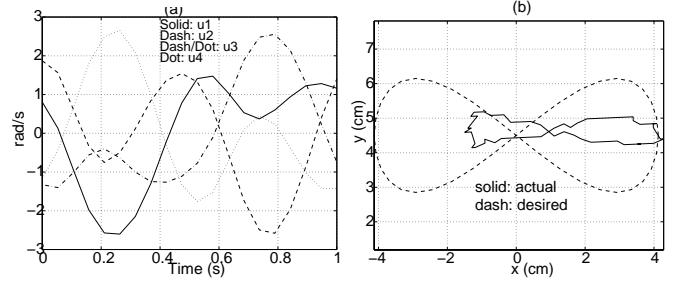
#### 4.2 Uniform Attention

We selected the attention sequence

$$\sigma = (e_1, e_2, e_3, e_4, e_1, \dots, e_4) \quad (16)$$

using basis vectors to indicate which actuator is updated at each step. To obtain a basis for comparison, we first computed an input sequence by “averaging” each ideal input signal (Fig. 3-b) over the time intervals between consecutive updates of that input. Fig. 3-b shows the object trajectory achieved using those averages as inputs. The tracking error was  $12.1\text{cm}^2$  while the joint tracking error was 0.32.

Next, the optimal inputs for the figure-8 trajectory were computed and transmitted to the motors. In this case (Fig. 4-a), the area tracking error was  $5.48\text{cm}^2$ ,



**Figure 3:** (a) Ideal (continuous-time) inputs (b) Object trajectory using “averaging”

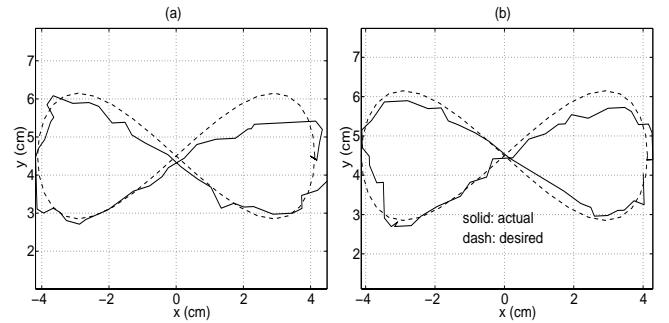
an improvement by a factor of 2 over “averaging”. The joint tracking error was 0.16. We observe that the object’s actual trajectory was not a closed curve. This is because our least-squares formulation of the trajectory tracking problem did not include constraints on the final conditions of the control system.

#### 4.3 Non-uniform Attention

The figure-8-tracking experiment was performed again, this time using an attention sequence that devotes 10%, 35%, 15% and 40% to inputs 1, 2, 3 and 4 respectively:

$$\sigma = (e_3, e_4, e_1, e_2, e_4, e_4, e_2, e_4, e_1, e_2, e_4, e_2, e_3, e_4, e_2) \quad (17)$$

Notice that distal joints (inputs 2 and 4) are updated more frequently than proximal joints. We arrived at this choice of communication sequence by observing the ideal (but infeasible) actuator inputs (in Fig. 3-a). For each time interval of length  $\Delta = 0.05\text{sec}$ , we allocated communication cycles using as a guide the amount of rotation required by each joint over that interval.



**Figure 4:** Object trajectory with (a) Uniform and (b) Non-uniform attention

When averaging was used, tracking performance was similar to that obtained with uniform attention. When the optimal inputs were used, tracking performance was slightly improved over what was achieved with uniform attention. The area tracking error was  $3.15\text{cm}^2$  while the joint tracking error dropped to 0.06. The corresponding object trajectory is shown in Fig. 4-b.

We were unable to find an attention sequence that significantly improved over uniform attention. Most likely, this is because the manipulation task that was investigated required significant motions from all four joints. The closed kinematic chain between fingers and object ensured that all joints required inputs of comparable magnitudes and frequency contents.

## 5 Conclusions and Future Work

In this paper we have proposed a model that explicitly captures interactions between control and communication in computer-controlled LTI systems. For these systems we have computed a family of generalized inverses using an operator-theoretic approach. The generalized inverses were used to solve output tracking problems that arise in systems with limited communication. Possible areas of application for this work include robotic motion control, remotely controlled systems, mobile communications, groups of semi-autonomous vehicles and other areas where communication with the system(s) of interest is limited. Current efforts are focused on exploring models for “closed-loop” controller-plant communication. Our formulation allows for posing joint communication/control optimization problems and for improved tracking performance by choice of an appropriate attention sequence. The price for this, is the apparent difficulty in optimizing with respect to the attention sequence that specifies controller-plant communication. Finding optimal or near-optimal attention sequences for output tracking problems is the subject of ongoing work.

## 6 Acknowledgment

The author would like to thank Prof. R. W. Brockett for his helpful discussions on the subject.

## References

- [1] R. Ahlswede and I. Csiszár. Hypothesis testing with communication constraints. *IEEE Trans. on Information Theory*, 32(4):533–542, July 1986.
- [2] K. J. Åström and B. Wittenmark. *Computer Controlled Systems: Theory and Design*. Prentice Hall, 1984.
- [3] V. S. Borkar and S. K. Mitter. LQG control with communication constraints. Tech. Report LIDS-P-2326, MIT, 1995.
- [4] R. W. Brockett. On the computer control of movement. In *Proceedings of the 1988 IEEE Conf. on Robotics and Automation*, p. 534–540, April 1988.
- [5] R. W. Brockett. Stabilization of motor networks. In *Proc. of the 34th IEEE Conf. on Decision and Control*, p. 1484–8, December 1995.
- [6] R. W. Brockett. Minimum attention control. In *Proc. of the 36th IEEE Conf. on Decision and Control*, p. 2628–32, 1997.
- [7] N. Ferrier, K. Morgansen, and D. Hristu. Implementation of membrane shape reconstruction. Tech. Report 97-1, Harvard Robotics Lab, Harvard University, 1997.
- [8] B. A. Francis and T. T. Georgiou. Stability theory for linear time-invariant plants with periodic digital controllers. *IEEE Trans. on Automatic Control*, 33(9):820–832, September 1988.
- [9] M. Halpern. Preview tracking for discrete-time SISO systems. *IEEE Trans. on Automatic Control*, 39(3):589–92, March 1994.
- [10] D. Hristu. *Optimal Control with Limited Communication*. PhD thesis, Harvard University, Div. of Engineering and Applied Sciences, 1999.
- [11] D. Hristu and K. Morgansen. Limited communication control. *Systems and Control Letters*, 37(4):193–205, July 1999.
- [12] P. T. Kabamba and S. Hara. Worst-case analysis and design of sampled-data control systems. *IEEE Trans. on Automatic Control*, 38(9):1337–1357, September 1993.
- [13] J. Kerr and B. Roth. Analysis of multifingered hands. *Int'l Journal of Robotics Research*, 4(4):3–17, Winter 1986.
- [14] V. Lovass-Nagy, R.J. Miller, and D.L. Powers. An introduction to the application of the simplest matrix-generalized inverse in systems science. *IEEE Trans. on Circuits and Systems*, 25(9):766–771, September 1978.
- [15] E. Mosca and A. Casavola. Deterministic LQ preview tracking design. *IEEE Trans. on Automatic Control*, 40(7):1278–81, July 1995.
- [16] M. Tomizuka. Optimal continuous finite preview problem. *IEEE Trans. on Automatic Control*, 20:362–65, June 1975.
- [17] P. Voulgaris. Control of asynchronous sampled data systems. *IEEE Trans. on Automatic Control*, 39(7):1451–1455, July 1994.
- [18] W. S. Wong and R. W. Brockett. Systems with finite communication bandwidth constraints–part I: State estimation problems. *IEEE Trans. on Automatic Control*, 42(9):1294–1299, September 1997.
- [19] Y. Yamamoto. New approach to sampled-data control systems - a function space method. In *Proc. of the 29th IEEE Conf. on Decision and Control*, p. 1882–87, December 1990.
- [20] G. Zames. Feedback and optimal sensitivity: Model reference transformations, multiplicative semi-norms and approximate inverses. *IEEE Trans. on Automatic Control*, 26(2):301–320, April 1981.